

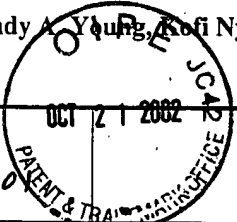
3763

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
ARC 3036 R1

In Re Application Of: Michel J.N. Cormier, Wendy A. Young, Kofi Nyam and Peter E. Daddona

#4
ARC 3036 R1
10/26/02



Serial No.

10/045,842

Filing Date

Oct. 26, 2002

Examiner

Group Art Unit

Title: **TRANSDERMAL DRUG DELIVERY DEVICES HAVING COATED MICROPROTRUSIONS**

OCT 23 2002

TECHNOLOGY CENTER R3700

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
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Dated: 17 Oct 2002

ALZA Corporation
1900 Charleston Road
P.O. Box 7210
Mountain View, CA 94039-7210
(650) 564-7850

CC:

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Address to:

Assistant Commissioner for Patents
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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

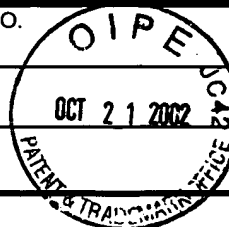
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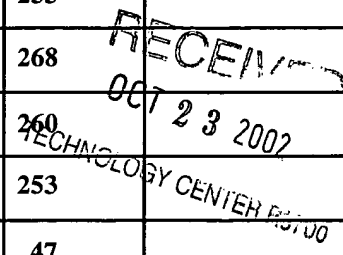
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1a	Re. 25,637	12/52	Kravitz, H., et al.	128	253	
	2a	3,136,314	08/60	Kravitz, H.	128	253	
	3a	3,814,097	06/74	Ganderton et al.	128	268	
	4a	3,964,482	06/76	Gerstel et al.	128	260	
	5a	4,109,655	08/78	Chacornac	128	253	
	6a	4,453,926	06/84	Galy	604	47	
	7a	5,250,023	10/93	Lee et al.	604	20	
	8a	5,279,544	01/94	Gross et al.	604	20	
	9a	5,487,726	01/96	Rabenau et al.	604	46	
	10a	5,738,728	04/98	Tisone	118	638	
	11a	5,741,554	04/98	Tisone	427	424	



FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	1b	EP 0 407 063 A1	09/91	EPO	A61B	17/20		✓
	2b	WO 96/17648	06/96	PCT	A61N	1/30		✓
	3b	WO 96/37155	11/96	PCT	A61B	17/20		✓
	4b	WO 96/37256	11/96	PCT	A61N	1/30		✓
	5b	WO 97/03718	02/97	PCT	A61M	37/00		✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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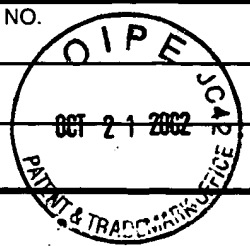
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	12a	5,743,960	04/98	Tisone	118	683	
	13a	5,879,326	03/99	Godshall et al.	604	51	
	14a	5,916,524	06/99	Tisone	422	100	
	15a	6,050,988	04/00	Zuck	604	890.1	
	16a	6,091,975	07/00	Daddona et al.	600	345	

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	6b	WO 97/48440	12/97	PCT	A61N	1/30		✓
	7b	WO 97/48441	12/97	PCT	A61N	1/30		✓
	8b	WO 97/48442	12/97	PCT	A61N	1/30		✓
	9b	WO 98/00193	01/98	PCT	A61M	31/00		✓
	10b	WO 98/11937	03/98	PCT	16AM	35/00		

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	11b	WO 98/28037	07/98	PCT	A61M	37/00		✓
	12b	WO 98/29298	07/98	PCT	B63B	39/00		✓
	13b	WO 98/29365	07/98	PCT	C07C	5/333		✓
	14b	WO 99/64580	12/99	PCT	C12N	15/00		

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